

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
KONG-20APPLN. NO.
10/717,134INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
Ling Yuk CheungCONFIRMATION
NO. : 7258FILING DATE
November 18, 2003GROUP
~~1654~~ 1657

EXAMINER: Dr. Kailash C. Srivastava

U.S. PATENT DOCUMENTS

EXAMINE R INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
KS	4,081,367	03/28/78	Hulls et al.	210	610	
	4,183,807	01/15/80	Yoshizawa et al.	210	611	
	4,211,645	07/08/80	Zajic et al.	210	611	
	4,559,305	12/17/85	Zajic et al.	435	243	
	4,816,158	03/28/89	Shimura et al.	210	610	
	5,075,008	12/24/91	Chigusa et al.	210	610	
	5,106,594	04/21/92	Held et al.	422	292	
	5,416,010	05/16/95	Langenberg et al.	435	468	
	5,476,787	12/19/95	Yokoyama et al.	435	262.5	
	5,567,314	10/22/96	Chigusa et al.	210	150	
	5,578,486	11/26/96	Zhang	435	243	
	5,707,524	01/13/98	Potter	210	606	
	5,879,928	03/09/99	Dale et al.	435	264	
	6,036,854	03/14/00	Potter	210	177	
	6,391,617	05/21/02	Cheung	435	254	
	6,391,618	05/21/02	Cheung	435	255	
	6,391,619	05/21/02	Cheung	435	255	
	6,436,695	08/20/02	Cheung	435	254	
	6,440,713	08/27/02	Cheung	435	173	
	6,649,383	11/18/03	Cheung	435	173.1	
	6,660,508	12/09/03	Cheung	435	173.1	
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	20020123129 A1	09/05/02	Cheung	435	254	
	20020123130 A1	09/05/02	Cheung	435	262	
↓	20040001815 A1	01/01/04	Cheung	424	93.51	
KS	20040001857 A1	01/01/04	Cheung	424	195.16	

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DATE CONSIDERED 12/20/2006

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
KS	20040001857 A1	01/01/04	Cheung	424	195.16	
	20040001858 A1	01/01/04	Cheung	424	195.16	
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	20040005337 A1	01/08/04	Cheung	424	195.16	
KS						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
KS	FR 2222433	10/18/74	France			✓ (Abstract Only)	
	Abstract of SU 415983A	11/15/74	Russia			✓	
	EP 0041373	12/09/81	EPO				
	Abstract of SU 1071637	0207/84	Russia			✓	
	Abstract of JP 60028893	02/14/85	Japan			✓	
	WO 87/02705	05/07/87	PCT				
	WO 95/04814	02/16/95	PCT				
KS	CN 1110317A	10/18/95	China				

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		FILING DATE November 18, 2003	GROUP 1651 1657
EXAMINER: Dr. Kailash C. Srivastava			

KS	WO 99/60142	11/25/99	PCT				
	WO 02/20431	03/14/02	PCT				
	WO 02/62981	08/15/02	PCT			✓ (Abstract only)	
	WO 02/62982	08/15/02	PCT			✓ (Abstract only)	
	WO 02/62983	08/15/02	PCT			✓ (Abstract only)	
	WO 02/62984	08/15/02	PCT			✓ (Abstract only)	
	WO 02/62985	08/15/02	PCT			✓ (Abstract only)	
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

2

Application Number

10/717,134

Filing Date

November 18, 2003

First Named Inventor

Ling Y. Cheung

Art Unit

1654 1657

Examiner Name

Not yet assigned

Attorney Docket Number

KONG-20

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
KS		20030230245 A1	12-18-2003	Cheung	
		20030232038 A1	12-18-2003	Cheung	
		20030235566 A1	12-25-2003	Cheung	
		20030235567 A1	12-25-2003	Cheung	
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Examiner
Signature

/Kailash Srivastava/

Date

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/717,134
Filing Date	November 18, 2003
First Named Inventor	Ling Y. Cheung
Art Unit	1651 1657
Examiner Name	Not yet assigned
Attorney Docket Number	KONG-20

Sheet	2	of	2
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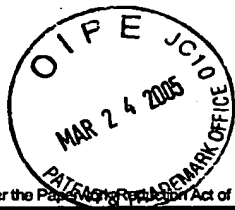
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ^o
		Country Code ² Number ⁴ Kind Code ⁵ (if known)				
KS		EP1375652	01-02-2004	Ultra Biotech Ltd.		
KS		WO02070683	09-12-2002	Ultra Biotech Ltd.		
KS		WO2004108919	12-16-2004	Ultra Biotech Ltd.		
KS						
KS						

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		Art Unit	1684		
		Examiner Name	Not yet assigned		
Sheet	1	of	4	Attorney Docket Number	KONG-20

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KS		2,107,830	02-08-1938	Liebesny et al.	
		3,150,979	09-29-1964	Ensley	
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		4,041,182	08-09-1977	Erickson et al.	
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		4,348,483	09-07-1982	Skogerson	
		5,047,250	09-10-1991	Prieels et al.	
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		5,158,788	10-27-1992	Lavens et al.	
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		5,665,352	09-09-1997	Blehaut et al.	
		5,866,116	02-02-1999	Yaegaki	
	KS		5,952,020	09-14-1999	Lizak
		5,981,219	11-09-1999	Flugge et al.	
		6,045,834	04-04-2000	Howes et al.	
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		6,197,295	03-06-2001	Hsia et al.	

Examiner Signature	/Kailash Srivastava/	Date Considered	12/20/2006
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				Application Number	10/717,134
				Filing Date	November 18, 2003
				First Named Inventor	Ling Y. Cheung
				Art Unit	1651
				Examiner Name	Not yet assigned
Sheet	2	of	4	Attorney Docket Number	KONG-20

EXAMINER: Dr. Kailash C. Srivastava

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KS		6,214,337	04-10-2001	Hayen et al.	
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		20030235569	12-25-2003	Cheung	
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		20040253268	12-16-2004	Cheung	
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		DIV of 10/192,805 (Filed 11-29-04; no Appln No. yet)	Not yet published	Zhang	
V KS		DIV of 10/192,807 (Filed 11-29-04; no Appln No. yet)	Not yet published	Cheung	

Examiner Signature	/Kailash Srivastava/	Date Considered	12/20/2006
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		Application Number	10/717,134
		Filing Date	November 18, 2003
		First Named Inventor	Ling Y. Cheung
		Art Unit	1654
		Examiner Name	Not yet assigned
Sheet 3 of 4	Attorney Docket Number	KONG-20	

EXAMINER: Dr. Kailash C. Srivastava

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ² -Number ⁴ -Kind Code ⁵ (if known)				
KS		GB1397873	06-18-1975	Evans		
KS		ES475500 (in Spanish with English Abstract)	04-01-1979	Gonzalez		
KS		SU1722364 (English Abstract)	03-30-1992	Talantov et al.		
KS		SU1750570 (English Abstract)	07-30-1992	Levon et al.		
KS		EP553377	08-04-1993	Bridges		
KS		CN1207873 (in Chinese with English Abstract)	02-17-1999	Liu et al.		
KS		WO02070436	09-12-2002	Cheung		

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
KS		Born et al., "The <i>Saccharomyces Boulardii</i> Therapy of HIV-Associated Diarrhea", <u>Deutsche Medizinische Wochenschrift</u> , 118(20):765 (1993). (In German with English translation)		
KS		Dutta et al., <u>J. of Microwave Power</u> , vol. 14, No. 3, pages 275-280 (1979).		
KS		Goodman, et al., "Magnetic Field Stress Induces Expression of <i>HSP70</i> ", <u>Cell Stress & Chaperones</u> 3(2):79-88 (1998).		
KS		Grundler W., "Resonant Microwave Effect on Locally Fixed Yeast Microcolonies" <u>Z. Naturforsch</u> 44c:863-866 (1989).		
KS		Kim et al., "Anti-Stress and Anti-Fatigue Effects of Fermented Rice Bran", <u>Biosci Biotechnol Biochem.</u> , 65(10):2294-6 (2001).		
KS		Lin H. et al., "A Magnetic Field-Responsive Domain in the Human <i>HSP70</i> Promoter", <u>J Cell Biochem</u> , 75:170-176 (1999).		

Examiner Signature	/Kailash Srivastava/	Date Considered	12/20/2006
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		Application Number	10/717,134		
		Filing Date	November 18, 2003		
		First Named Inventor	Ling Y. Cheung		
		Art Unit	1631		
Examiner Name	Not yet assigned				
Sheet	4	of	4	Attorney Docket Number	KONG-20

EXAMINER: Dr. Kailash C. Srivastava

NON PATENT LITERATURE DOCUMENTS			
KS		Machado Caetano et al., "Immunopharmacological Effects of <i>Saccharomyces Boulardii</i> in Healthy Human Volunteers", <i>Int'l Immunology and Immunopharmacology</i> , 8(3):245-259 (1986).	
		Ortuno et al., "Oral Administration of Yeast, <i>Saccharomyces Cerevisiae</i> , Enhances the Cellular Innate Immune response of Gilthead Seabream (<i>Sparus aurata</i> L.)", <i>Vet Immunol Immunopathol</i> , 85(1-2):41-50 (2002).	
		Peret Filho et al., "Dose Effect of Oral <i>Saccharomyces Boulardii</i> Treatments on Morbidity and Mortality in Immunosuppressed Mice", <i>J Med Microbiol</i> , 47(2):111-6 (1998).	
		Saha et al., "Microbial Manipulation of Rumen Fermentation Using <i>Saccharomyces Cerevisiae</i> as Probiotics", <i>Current Science (Bangalore)</i> , 77(5):696-697 (1999).	
KS		WHO World Health Organization; WebPages http://www.who.int/peh-emf/about/WhatisEMF/en/ and http://www.who.int/peh-emf/about/WhatisEMF/en/index3.html retrieved 6/10/04.	

Examiner Signature	/Kailash Srivastava/	Date Considered	12/20/2006
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		Application Number	10/717,134		
		Filing Date	November 18, 2003		
		First Named Inventor	Ling Yuk Cheung		
		Art Unit	1654 1657		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	KONG-20

EXAMINER: Dr. Kailash C. Srivastava

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
KS		CN1309175 (in Chinese with English Abstract)	08-22-2001	Chen		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature	/Kailash Srivastava/	Date Considered	12/20/2006
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